

#### Sheet 1 of 6 Docket No. Serial No. Form PTO-1449 Modified MSFT-0107 09/482,928 List of Patent and Publications **Applicant** Cited by Applicant 🛣rnold N. Blinn et al. (Use several sheets if necessary) Group U.S. Department of Commerce Filing Date Patent and Trademark Office January 13, 2000 Not-Yet OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) "Aladdin Knowledge Systems Partners with Rights Exchange, Inc. to Develop a Α̈́Α (year) Comprehensive Solution for Electronic Software Distribution," August 3, 1998, 5 pages Amdur, D., "Metering Online Copyright," January 16, 1996, 2 pages $A\mathbb{B}$ Amdur, D. (ed.), "InterTrust Challenges IBM Digital Content Metering," Report ACOn Electronic Commerce, July 23, 1996, 3(15), 1-2 and 16-18 Armati, D., "Tools and standards for protection, control and presentation of data," AD April 3, 1996, 17 pages Benjamin, R. et al., "Electronic markets and virtual value chains on the information AE superhighway," Sloan Management Rev., Winter, 1995, 62-72 AF Cassidy, "A Web developers guide to content encapsulation technology," April, 1997, 5 pages AG Clark, T., "Software Secures Digital Content on Web," Interactive Week, September 25, 1995, 1 page Cox, B., "Superdistribution," Idees Fortes, September, 1994, 2 pages AH Cox, B., "What if There Is A Silver Bullet," J. Object Oriented Programm., June, ΑT 1992, 8-9 and 76 AJGriswold, G.N., "A Method for Protecting Copyright on Networks," IMA Intell. Property Project Proceedings, January, 1994, 1(1), 169-178 AKHauser, R.C., "Does licensing require new access control techniques?" August, 1993, 9 pages Hudgins-Bonafield, C. "Selling Knowledge on the Net," Network Computing, June AL1, 1995, 102-109

CUONG MIGUYEN EXAMINER PROMARY EXAMINER

the Internet," May, 1996, 3 pages

AM

DATE CONSIDERED

"IBM spearheading intellectual property protection technology for information on





Form PTO-1449 Modified

Docket No. MSFT-0107

Serial No. 09/482,928

List of Patent and Publications
Cited by Applicant

Applicant Arnold N. Blinn et al.

		veral sheets if necessary)	A A THOIGHT 19. IDINING EL	aı.	
		Department of Commerce t and Trademark Office	Filing Date January 13, 2000	Not Yet Assigned	
OTHER D	OCUM	ENTS (Including Author, Title, Da	te, Pertinent Pages, I		
CHIV	AN	"Technological Solutions Rise to Co Electronic Works," <i>Information Law</i>	_		
	AO	Kaplan, M.A., "IBM Cryptolopes_, Management," December, 1996, 7 p	_	nd Digital Rights	
	AP	Kent, S.T., "Protecting externally statement, 1980, 1-42 and 250-252		nall computers,"	
	AQ	Kohl, U. et al., "Safeguarding Digit Documents Rather Than Channels,"	<del>-</del>	_	
	AR Linn, R.J., "Copyright and Information Services in the Context of the National Research and Education Network," <i>IMA Intell. Property Project Proceedings</i> , January, 1994, 1(1), 1 and 10-20				
	AS	McNab, L., "Super-distribution wor 1998, 2 pages	rks better in practical a	applications," March 2,	
	AT	Moeller, M., "NetTrust lets Cybers November 20, 1995, 12(48), 1 pag	-	Account," PC Week,	
	AU	Moeller, M., "IBM takes charge of SET," April, 1996, 4 pages	E-commerce; Plans cl	ient, server apps based on	
	AV	Pemberton, J., "An ONLINE Interv 1996, 6 pages	riew with Jeff Crigler	at IBM InfoMarket," July,	
### "LicensIt: kinder, gentler copyright? Copyright management system links content authorship information," Seybold Report on Desktop Publishing, 1996, 10(11), 2 pages					
	AX	Sibert, O. et al., "The DigiBox: A Sel First USENIX Workshop on Electron	-		
	AY	Sibert, O. et al., "Securing the Cont July, 1995, 1-11	tent, Not the Wire, for	r Information Commerce,"	
EXAMINE	CR	CUONG NGUYEN PRIMARY EXAMINER	DATE CONSIDER	ED 3/19/63	





II.	orm	PTO-1449 Modified	Docket No. MSFT-0107	Serial No. 09/482,928				
	List of Patent and Publications  Cited by Applicant  (Use several sheets if necessary)  Cited by Applicant  (Use several sheets if necessary)							
τ	J.S. D Patent	Pepartment of Commerce TRADENIE Filing Date Group 31257 and Trademark Office January 13, 2000 Not Yet Assigned						
OTHER DO	CUM	ENTS (Including Author, Title, Da	te, Pertinent Pages, I	Etc.) 27				
Class	AZ	Smith, M.G. et al., "A New Set of leading to the Commerce, November 6, 1995, 34-2		Commerce," Electronic				
	BA	Stefik, M., 'Trusted Systems," Marc	ch, 1997, 8 pages					
	BB	Stefik, M., "Shifting the Possible: Handle Challenge Us to Rethink Dig 137-159	_					
	вс	Tarter, J. (ed.), "The Superdistribut 3(6), 1-6	ion Model," Soft Trend	ds, November 15, 1996,				
	BD	"Rights Management in the Digital A 1997, 4, 3 pages	Age: Trading in Bits, I	Not Atoms," Spring,				
	BE	Weber, R., "Digital Rights Manager	nent Technology," Oc	tober, 1995, 35 pages				
	BF	White, S.R. et al., 'ABYSS: An Arc On Software Engineering, June, 199		Protection," IEEE Trans.				
	BG	White, S.R. et al., "ABYSS: A trust Symposium on Security and Privacy,		_				
	BH	"Boxing Up Bytes," 2 pages						
	BI	Ramanujapuram, A. et al., "Digital Content & Intellectual Property Rights: A specification language and tools for rights management," December, 1998, 20-23 and 26						
	· -							
			<del> </del>					
EXAMINER	EXAMINER CUONG NGUYEN DATE CONSIDERED 3/19/03							





## Form PTO-1449 Modified

Docket No. MSFT-0107

Serial No. 09/482,928

List of Patent and Publications Cited by Applicant

(Use several sheets if necessary)

Applicant Arnold N. Blinn et al.

U.S. Department of Commerce Patent and Trademark Office

PRIMARY EXAMINER

Filing Date January 13, 2000

Group Not Yet Ass

## U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
Clear	BJ	3,718,906	02/27/73	Lightner	340	147 R
	BK	4,323,921	04/06/82	Guillou	358	114
	BL	4,528,643	07/09/85	Freeny, Jr.	364	900
	BM	4,658,093	04/14/87	Hellman	380	25
	BN	4,683,553	07/28/87	Mollier	380	4
	BO	4,827,508	05/02/89	Shear	380	4
	BP	4,916,738	04/10/90	Chandra et al.	380	25
	BQ	4,953,209	08/28/90	Ryder, Sr. et al.	380	23
	BR	4,977,594	12/11/90	Shear	380	4
4	BS	5,050,213	09/17/91	Shear	380	25

### FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translat YES	tion NO
Coord	BT	EP 0 715 246 A1	06/05/96	ЕРО	X	
1	BU	EP 0 715 247 A1	06/05/96	ЕРО	X	
	BV	WO 93/01550	01/21/93	PCT	X	
V	BW	WO 96/13013	05/02/96	PCT	X	
<b>₽</b>						
EXAMINE	$\mathbb{R}$	CUONG MOUYEN	_	DATE CONSIDERED 3/17/03		





## Form PTO-1449 Modified

Docket No. MSFT-0107

Serial No. 09/482,928

List of Patent and Publications
Cited by Applicant
(Use several sheets if necessary)

ions  $Q \in \mathcal{C}_Q$  Applicant Applicant Applicant N. Blinn et al.

U.S. Department of Commerce Patent and Trademark Office

Filing Date
January 13, 2000

Group: 3525 Not You Assigned

# U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class 2	Subclass
Charl	BX	5,103,392	04/07/92	Mori	395	725
	BY	5,103,476	04/07/92	Waite et al.	380	4
	BZ	5,109,413	04/28/92	Comerford et al.	380	4
	CA	5,117,457	05/26/92	Comerford et al.	380	3
	CB	5,193,573	03/16/93	Chronister	137	315
	CC	5,222,134	06/22/93	Waite et al.	380	4
	$\mathbb{C}\mathbb{D}$	5,319,705	06/07/94	Halter et al.	380	4
	CE	5,410,598	04/25/95	Shear	380	4
	CF	5,509,070	04/16/96	Schull	380	4
4	CG	5,629,980	05/13/97	Stefik et al.	380	4

### FOREIGN PATENT DOCUMENTS

Examiner					Translation	
Initial		Document No.	Date	Country	YES NO	
Carol	CH	WO 96/24092	08/08/96	PCT	X	
	CI	WO 96/27155	09/06/96	PCT	X	
	CJ	WO 97/25798	07/17/97	PCT	X	•
	CK	WO 97/43761	11/20/97	PCT	X	
1	CL	WO 98/09209	03/05/98	PCT	X	
EXAMINER		CUONS ROUYEN PRIMARY EXAMINER		DATE CONSIDERED 3/17/03		





3/15/03

DATE CONSIDERED

٠	·	•					Sheet 6 of 6
	]	Form 1	PTO-1449 Modifi	Docket No. MSFT-0107  Serial No. 09/482,928  Applicant Arnold N. Blinn et al.			
	(	C Use sev	Patent and Publication ited by Applicant veral sheets if necessa				
		U.S. De Patent	epartment of Commer and Trademark Office	TOP FEB 29 2000 TOP TOP TOP TOP TOP TOP TO THE TRADENTS	Filing Date January 13, 2000	SSIBMED_	
			U. S	. PATENT DO	CUMENTS	1 CE	CE
` '	Examiner Initial		Document No.	Date	Name	Class 2	Subclass
Ď	Cun	CM	5,634,012	05/27/97	Stefik et al.	395 8	239
	D	CN	5,638,443	06/10/97	Stefik et al.	380	4
		CO	5,673,316	09/30/97	Auerbach et al.	380	4
		CP	5,710,887	01/20/98	Chelliah et al.	395	226
		CQ	5,715,403	02/03/98	Stefik	395	244
		CR	5,765,152	06/09/98	Erickson	707	9
		CS	5,809,144	09/15/98	Sirbu et al.	380	25
	$\checkmark$	CT	5,845,281	12/01/98	Benson et al.	707	9
	<b>→</b>						
			FOREI	IGN PATENT I	DOCUMENTS		
	Examiner Initial		Document No.	Country	Translatio YES	n NO	
	Casp	CU	WO 98/10381	03/12/98	PCT	X	
	f	CV	WO 98/21679	05/22/98	PCT	X	
		CW	WO 98/24037	06/04/98	PCT	X	•
		CX	WO 98/37481	08/27/98	PCT	X	

CUONG NGUYEN PRIMAPIY EXAMINER

EXAMINER

9

Sheet 1 of 1

		PTO-1449 Mod		Docket No. MSFT-0107/ 127334.7	MSFT-0107/ Serial No.		
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office				Applicant Arnold N. Blinn, et al.			
				Filing Date January 13, 2000	Group 2000 2768—3625		
		U	. S. PATENT D	OCUMENTS			
Examiner Initial		Document No.	Date	Name	Class	Subclass	
Examiner	<u> </u>	FOR	EIGN PATEN	r documents	70		
Initial		Document No.	Date	Country	YES TE	anslation NO	
CLON	77	0 665 486 A2	08/02/95	ЕРО			
cran	78	0 715 245 A	06/05/96	EPO			
EXAMINER		CUONG RGOYEM PRIMARY EXAMINE	R	DATE CONSIDERE	<u> </u> ED 多/い	9/03	